

PARTICIPATORY BUDGETING: CASE OF MAKUENI COUNTY



**PRESENTATION BY:
MARY K. KIMANZI
ECM - FINANCE & PLANNING**

BACK GROUND

- Makueni County – Located in South Eastern side of Kenya
- Population - projected at 961,738 at year 2015.
- Area - 8,008.7 Km²
- Wards – 30 & 17 Nominated MCA's
- Governor – Prof. Kivutha Kibwana
- D. Governor – Adelina Mwau



MISSION

- To transform the livelihoods of each household through accountable leadership that creates an enabling environment for inclusive, effective and efficient service delivery.

RALLYING CALL

- Our County, Our Future



WHY DID WE EMBRACE IT - OUR GENERAL STRATEGY..

- Participation is enshrined in Constitution – Articles; 118(1)(a), 196(1)(a) & 201(1)(a), - "openness and accountability" and public participation when it comes to public financial matters., County Government Act, 2012 section 104 & PFM Act, 2012
- Participatory budgeting will also contribute towards;
 - Equitability in distribution of resources throughout the county.
 - Building trust between citizens and the County Government
 - Improving service delivery in implementation of projects – through PMCs.
 - Ensuring budget transparency



PARTICIPATORY DEVELOPMENT

- The County has developed a mechanism to ensure that citizens are involved in all development processes:
 - i. **Planning & Budgeting** – consultative forums
 - ii. **Project designs and preparation** – community identified Project Management Committees (PMCs)
 - iii. **Project Implementation** – PMCs actively involved; community contribution in development (monetary or non financial)

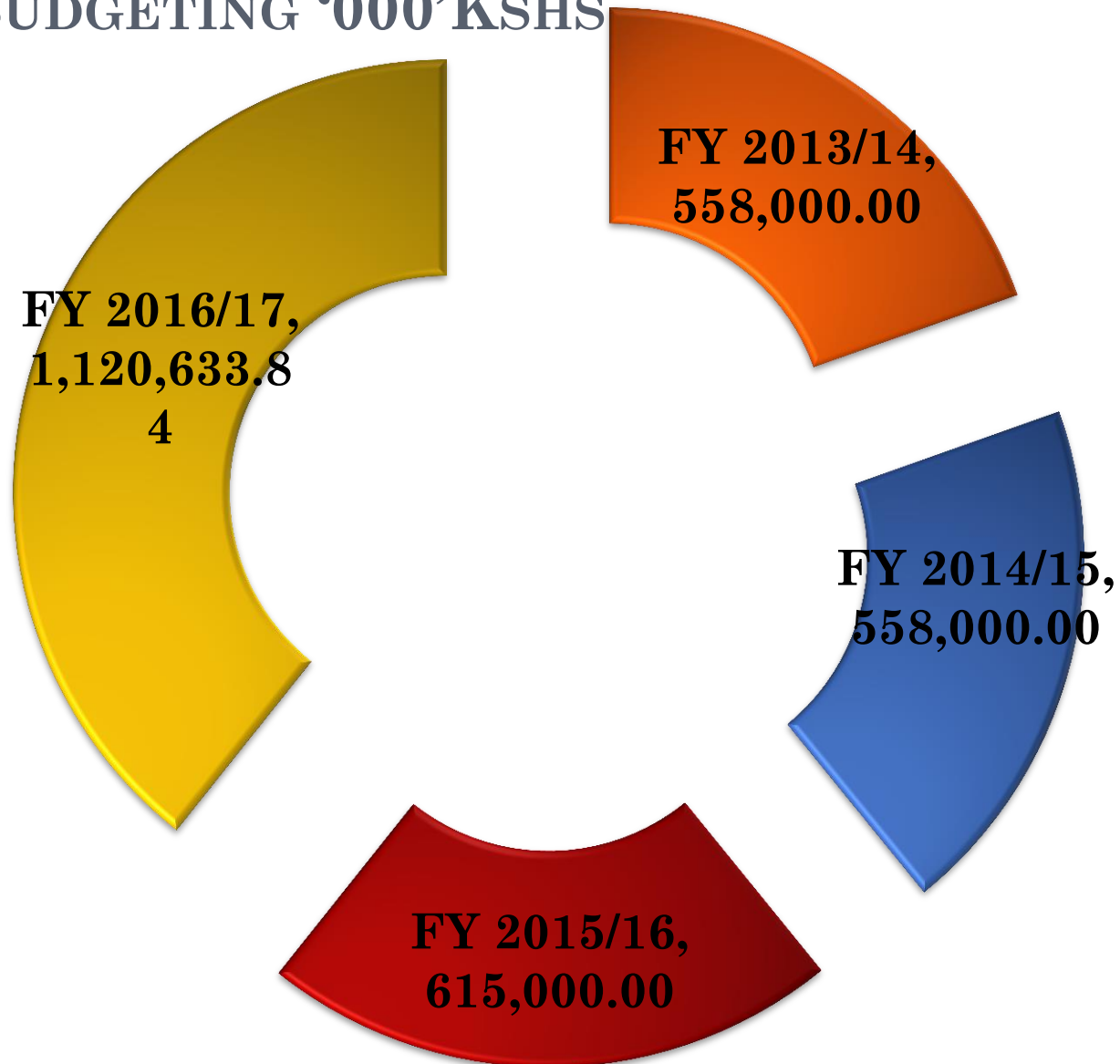


PARTICIPATORY DEVELOPMENT CONT'

- iv. **Participatory Monitoring** - the community capacity on monitoring has been strengthened. PMC involvement in payment of all contractors and suppliers.
- v. **Participatory Evaluation** - Community forums and evaluation of the projects and programmes



FUNDING - ALLOCATION TOWARDS PARTICIPATORY BUDGETING '000'KSHS



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- Total budget for 2016/17
 - Ksh 7,251,278,862.00
- Total development budget for 2016/17
 - Kshs 2,621,117,112.90
- Total Recurrent Budget for 2016/17
 - Kshs 4,630,161,749.10
- Budget for projects directly proposed by the people
 - Kshs 680,000,000.00
- Budget for projects directly addressing issues raised by the people
 - Kshs 440,633,838.90



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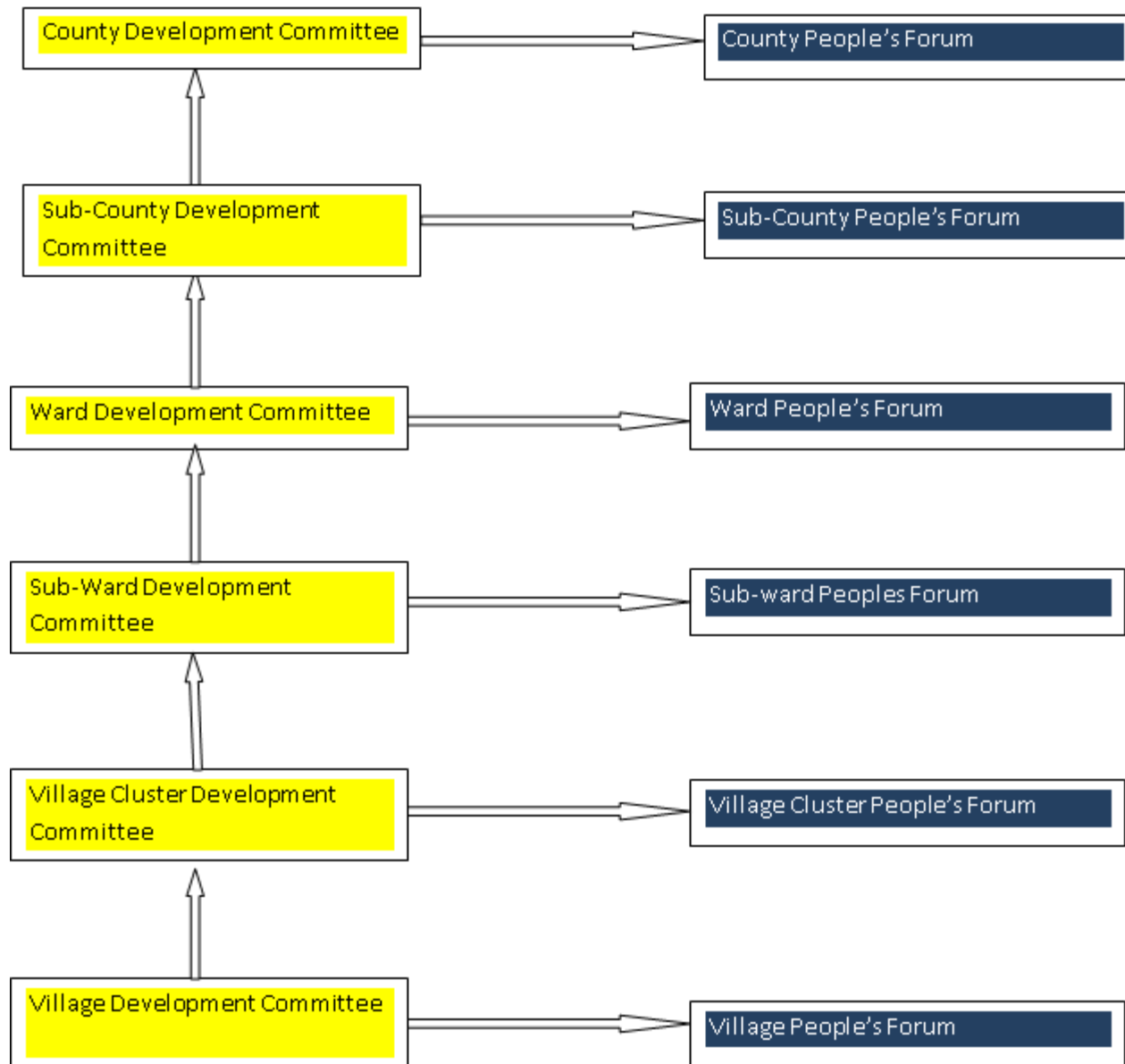
Item	Amount Allocated
Kalamba fruit processing plant	320,900,000.00
Drilling of boreholes - one million per ward	30,000,000.00
Equipping of boreholes and start up distribution	15,000,000.00
Development of Water Sources for Kibwezi East (Flagship Projects)	25,800,000.00
Operationalisation of Bore holes & other water sources	48,933,838.90
Installation of security gates, lights, water tank sheds and extension of Marikiti market	5,000,000.00
Total	440,633,838.90



STEP 2: PREPARATION OF THE ORGANIZATIONAL MODEL

- Makueni County motto – O Kila Nyumba Kalila
- The Makueni Public Participation Model starts from the Village levels up to the County Level.





Forum	Number of forum	Number and source of participants
Village People's Forum	3,159	At least 100 people (one from each household) per village forum. Total =315,900 people county wide
Village Clusters People's Forum	232	143 participants, 11 representatives from each of the 13 villages per cluster Total =33,176 people county wide
Sub-Ward People's Forum	60	53-75 people, 11 representatives from each of the 3-5 village clusters in a Sub-Ward and 20 Organized groups co-opted representatives Total =3,180-4,500 people county wide
Ward People's Forum	30	72-92 people,11 from the 2 sub-wards,15-25 co-opted (five from every cluster) and 20 nominated organized groups representatives Total=2,160-2,760 people county wide
Sub-County People's Forum	6	53-97 people: 11 from each ward and 20 representatives of organized groups Total =318-582 people county wide
County People's Forum	1	Approx. 1,000 people: 11 from each of the 60 sub-wards, 11 people from the Sub-County Development committees, 10 representatives of each of the organized groups and County government officials.



County People's Forum -(1,000 delegates)



Sub -County People's Forum (to elect a development committee of 11)



Ward People's Forum - Its development committee to have 11 members



Sub-Ward People's Forum - 60 Units. (To elect a development committee of 11)



Village Cluster People's Forum - 232 units. (Each to elect a development committee with 11 members from each village)



Village People's Forum - 3159 units. (Each to elect a development committee of 11 members)

STEP 3: DEVELOPING INFORMATIONAL MATERIALS AND MOBILIZATION OF CITIZENS

- Before the actual Participation the following documents are prepared;
 - i. A training manual to all teams who will be facilitating the participation.
 - ii. Templates to collect data from the village levels up to the County levels
 - iii. A summary of all previous funding's to the wards with the allocations & status
 - iv. Summary of all projects prioritised in the CIDP for the specific areas



STEP 4: FIRST PUBLIC MEETINGS

- Mobilization is done through local radio stations, print media & through the administrators.
- Participation is held in the respective regions (Village to County) – venue is determined by factors such as centrality, Market days & venue used in the past participations e.t.c



No.	Level	Dates	No. of Meetings	Details
1	Village Meetings	10 th to 12 th February 2016	Total Villages 3455	<ol style="list-style-type: none"> 1. Election of village development committees. (11 Persons – across the social divide) 2. Prioritization of development projects
2	Village Clusters	13 th - 15 th February 2016	Total Village Clusters 315	<ol style="list-style-type: none"> 1. Election of Village cluster development committees (11 Persons) 2. Prioritization of development projects – (Prioritizing the projects from the village levels)
3	Sub Wards	16 th – 17 th February 2016	Total Sub Wards 60	<ol style="list-style-type: none"> 1. Election of Sub Ward development committees (11 Persons) – The committees also include 15-25 co - opted members & 20 Nominated Members) 2. Identifying the distribution of social amenities in the respective areas (Water, Health, Education & Environment Issues) 3. Prioritization of development projects – (Prioritizing the projects from the Village Clusters)

4	Wards	10th & 11th March 2016	Total Wards – 30	<ol style="list-style-type: none"><li data-bbox="917 0 1926 285">1. Election of Ward development committees- (11 Persons) – The committees also include 15-25 Pax co-opted members & 20 Nominated Members)<li data-bbox="917 285 1926 399">2. Mapping of all development projects (On a map)<li data-bbox="917 399 1926 699">3. Identifying Ward specific economic activities & proposed strategic interventions geared towards boosting / improving the economic activities for sustained socio economic development.<li data-bbox="917 699 1926 1099">4. Identifying the challenges affecting specific key interest groups (Youth, children, Women, Business community, PWDs, OVCs, Farmers, Cooperatives, Boda Boda) & proposing strategic interventions to counter the challenges / to improve their well being.<li data-bbox="917 1099 1926 1270">5. Prioritization of development projects – (Prioritizing the projects from the Sub Wards)<li data-bbox="917 1270 1926 1420">6. Selecting Ward Flagship Projects
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5	Diaspora Hearings	14 th March 2016	Nairobi	<ol style="list-style-type: none"> 1. Presentation on the County's achievement's (Development) Progress 2. Presentations on the Thematic Group proposals. 3. Discussions - (thematic Groups issues, Proposed interventions)
6	Sub County	16 th March 2016	Total Sub Counties – 6	<ol style="list-style-type: none"> 1. Election of Sub County development committees- (11 Persons) & nomination of 20 representatives from Key interest groups. 2. Validation of the Ward prioritised projects 3. Identifying urban development initiatives (Development interventions for respective urban centres 4. Selecting Sub County Flagship Projects (Prioritised from the Ward Flagship Projects)
7	County's People Forum	18 th March 2016	1 People's Forum	<ul style="list-style-type: none"> • Comprise 11 representatives from each of the 60 Sub Wards & 10 Persons per ward from special interest groups – total approx. 1000 people •

STEP 5: TECHNICAL EVALUATION OF PROPOSALS & STEP 6: PUBLISHING OF FINAL LIST OF PB PROPOSALS TO BE SUBMITTED TO PUBLIC VOTE

- During participation communities are asked to prioritise projects on the basis of need and previous allocations to the regions.
- The prioritised needs are forwarded to the respective departments for evaluation & costing.
- Any project that is not funded is communicated back to the community.
- The prioritized funded projects are included in the Budget



STEP 7: VOTING PERIOD OR VOTING DAY &

STEP 8: CREATION OF SPIN-OFF OR VOLUNTARY WORKING GROUPS ON THE WINNING PROPOSAL(S) TO BETTER DETAIL THE PROJECT(S)

- At each and every stage of the process, the community priorities projects to be forwarded to the next level. This is usually done through consensus.
- After Projects are prioritised – the line departments are given their specific project lists – from the whole county - to better detail them and cost them.
- The List is thereafter forwarded to the Department of Finance & Planning to facilitate compilation of the whole County Budget.



STEP 9; APPROVAL OF BUDGET

- After the Budget is passed by the County Assembly, meetings are held in the regions to ;
 - i. Inform the public on all projects to be implemented in that Financial year
 - ii. To elect PMCs and draw work Plans



STEP 10: MONITORING OF THE IMPLEMENTATION OF PROPOSALS

- The Elected PMCs are responsible for daily monitoring of all the projects.
- Respective departments & M&E unit also monitor how the projects are being implemented until completion.



BEST PRACTICES

- **Commitment and integrity** – public made aware of the limits and possibilities of the undertakings
- **Budget & Resources** – the county has continuously allocated resources for public participation in every project/programme. Establishment of fully staffed public participation and civic education directorate
- **Efficient communication** and easily availing information to all stakeholders
- **Inclusion** of the marginalized and vulnerable groups in the county in participation process



"Getting public participation right is essential, including striking the right balance amongst competing priorities of government; being clear to the public about what can and what cannot be accomplished in the short term. Getting it wrong simply frustrates all participants - government and the public - and requires time to rebuild the trust required to engage successfully."- John Doyle, Auditor General of British Columbia (October 2007- May 2013)



End.....

